



Customer No. 30223

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

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MAY 20 2004

GROUP 3600

In re Application of:)
Curtis W. Hallowell, et al.)
Serial No.: 10/068,977)
Filed: February 8, 2002)
For: MULTIPLE POCKET CURRENCY)
PROCESSING DEVICE AND METHOD)

Group Art Unit: 3653

Examiner: K. W. Bower

Atty Dkt No.: 47171-00344USP1

RE-SUBMISSION OF FIRST AND SECOND INFORMATION DISCLOSURE
STATEMENTS AND SELECTED REFERENCES CITED THEREIN

Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 5-11-04

Signature: *Maureen K. Hammonds*
(Maureen K. Hammonds)

Sir:

The Office Action dated December 11, 2003 indicates that the PTO's file for the above application is missing copies of the references cited in the first Information Disclosure Statement ("IDS") mailed on July 10, 2002 and the Second Information Disclosure Statement mailed on July 16, 2003. References of all cited references were included with the respective Information Disclosure Statements ("IDSs") as evidenced by the copies of the enclosed postcards.

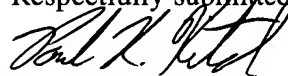
The Office Action dated December 11, 2003 requests re-submission of selected ones of the references cited in the First and Second IDSs. Accordingly, attached please find:

(1) A copy of the first IDS mailed on July 10, 2002, the accompanying Form 1449, the postcard for the first IDS and references A8, A45, A52, A54, A58, A63, A68, A74, A89, A98, A99, A120, A126, A129, A134, A160, A165, A190, A216, A224, A225, A231, B2, B35-B37, C26, C36, C37, and C66-C73.

(2) A copy of the Second IDS mailed on July 16, 2003, the accompanying Form 1449, the postcard for the second IDS and references D1-D3, D6 and F1.

No fees are believed due. However, if any fees are due in connection with this application (except the issue fee), the Commissioner is authorized to charge any additional fees (except the issue fee) which may be required, or credit any overpayment, to Deposit Account No. 10-0447, Order No. 47171-00344USP1.

Respectfully submitted,



Paul R. Kitch
Reg. No. 38,206
Jenkins & Gilchrist
225 West Washington Street
Suite 2600
Chicago, IL 60606
312/425-3900
Attorneys for Applicant



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Curtis W. Hallowell et al.

Atty Dkt: 47171-00344USP1

Serial No.: 10/068,977

Group Art: Unknown

Filed: February 8, 2002

Examiner: Unknown

Title: MULTIPLE POCKET CURRENCY
PROCESSING DEVICE AND METHOD

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§1.97 and 1.98

GROUP 3600

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to:
Commissioner for Patents, Washington, D.C. on the date indicated below:

7-10-02 Maureen Hammocks

Date

Signature

Commissioner for Patents
Washington, D.C. 20231

Dear Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the reference(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

This application is a continuation-in-part of U.S. Patent Application No. 09/635,967 (Atty Docket: 47171-00272) filed August 8, 2000 and entitled "Method and Apparatus for Document Processing."

U.S. Patent Application No. 09/635,967 (Atty Docket: 47171-00272) is a continuation of U.S. Patent Application Serial No. 08/864,423 (Atty Docket 47171-00174), filed May 28, 1997 entitled "Method and Apparatus for Document Processing," now issued as U.S. Pat. No. 6,311,819.

U.S. Patent Application Serial No. 08/864,423 claimed the benefit of Provisional Patent Application Serial Nos. 60/018,563 (Atty Docket 47171-158PZ1) filed May 29, 1996 entitled "Method and Apparatus for Document Identification and Authentication", 60/034,954 (Atty Docket 47171-174PZ1) filed January 16, 1997 entitled "Method and Apparatus for Document

Processing”, and 60/038,340 (Atty Docket 47171-174PZ2) filed February 27, 1997 entitled “Method and Apparatus for Document Processing,” all now abandoned.

In accordance with 37 C.F.R. § 1.98(d), copies of the listed references are enclosed.

The applicants would like to make the Examiner aware of the following applications that are being pursued by the assignee of the present application:

Application No.	Date Filed	Title
09/453,200	12/2/99	Method and Apparatus for Currency Discrimination and Counting - Allowed
09/542,487	04/03/00	Method and Apparatus for Document Processing
09/541,170	04/03/00	Method of Using a Two Pocket Currency Evaluation Device
09/607,019	06/29/00	Apparatus With Two or More Pockets For Document Processing
09/611,279	07/06/00	Method and Apparatus for Document Processing
09/635,181	08/09/00	Method of Creating Identifiable Smaller Stacks of Currency Bills within a Larger Stack of Currency Bills – Allowed
09/635,967	08/10/00	Method and Apparatus for Document Processing
09/688,526	10/16/00	Currency Handling System Having Multiple OutPut Receptacles
09/688,538	10/16/00	Currency Handling System Having Multiple OutPut Receptacles
09/733,117	12/08/00	Method and Apparatus for Currency Discrimination and Counting
10/062,000	02/01/02	Two Belt Bill Facing Mechanism
10/027,449	12/21/01	Method and Apparatus for Document Processing
10/163,757	06/06/02	Method and Apparatus for Currency Discrimination and Counting

In accordance with 37 C.F.R. § 1.98(a)(2)(iii), a copy of the above-referenced U.S. Patent Application Serial Nos. 09/635,181; 09/688,526; and 09/688,538 are enclosed.

The following applications are continuations of U.S. Patent Application Serial No. 09/453,200: U.S. Patent Application Serial Nos. 09/733,117 and 10/163,757. U.S. Patent Application Serial No. 09/453,200 in turn is a continuation of U.S. Patent Application Serial No. 08/841,203, now issued as U.S. Pat. No. 6,028,951. Because U.S. Patent Application Serial Nos. 09/453,200; 09/733,117 and 10/163,757 share the same specification as U.S. Pat. No. 6,028,951 (which is listed on the attached PTO-1449 and a copy of which is enclosed), only copies of the pending claims of these pending applications are enclosed.

The following applications are directly or indirectly continuations of U.S. Patent Application Serial No. 08/864,423 now issued as U.S. Pat. No. 6,311,819: U.S. Patent Application Serial Nos. 09/541,170; 09/542,487; 09/607,019; 09/611,279; 09/635,967; and 10/027,449. Thus, because these applications share the same specification as U.S. Pat. No. 6,311,819 (which is listed on the attached PTO-1449 and a copy of which is enclosed), only copies of the pending claims of these pending applications are enclosed.

For the Examiner's benefit, U.S. Patent Application Serial Nos. 09/453,200 and 09/635,181 have been allowed and enclosed are copies of the allowed claims.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. §§ 1.56.

This Information Disclosure Statement is being filed prior to receipt of a first Office Action reflecting an examination on the merits and, hence, is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fee is believed to be due. Should any fee be deemed necessary (except payment of the issue fee), however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 10-0447 (47171-00344USP1). A duplicate copy of this Statement is enclosed for that purpose.

7/10/02
Date

Respectfully submitted,

Paul R. Kitch

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Mailed: July 10, 2002
Applicant(s): Hallowell et al
Appln. No. 10/068,977
Filed: February 8, 2002
For: Multiple Pocket Currency Processing Device and Method
Docket No.: 47171-00344USP1

KIH/mmh

The following documents were received in the PTO on the date
Stamped below:

1. Information Disclosure Statement
2. PTO Form 1449 (all refs)
3. Acknowledgment Postcard

BEST AVAILABLE COPY

DOCKETED
INIT. JOS DATE: 7-23-02
ACTION: comp DATE: 7-15-02

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Customer No. 30223

PATENT
U.S. Application No. 10/068,977

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/068,977
Applicant : Hallowell et al.
Filed : February 8, 2002
Title : Multiple Pocket Currency Processing Device and Method

TC/A.U. : Unknown
Examiner : Unknown

Docket No. : 47171-00344USP1

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MAY 20 2004

GROUP 3600

SECOND INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: MS DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

7-16-03 *Maurice Hammond*
Date Signature

Dear Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Second Information Disclosure Statement be entered and the reference(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.98(d), copies of the listed references are enclosed.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. §§ 1.56.

This Second Information Disclosure statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits and hence is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fees are believed to be due in connection

with the filing of this Second Information Disclosure Statement. However, should any fees be deemed necessary (except payment of the issue fee), the Commissioner is authorized to charge any deficiency or to credit any over payment to Jenkins & Gilchrist Account No. 10-0447/47171-00344USP1.

7/16/03
Date

Respectfully submitted,



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